

02/14/01  
JC772 U.S. PTO

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PTO/SB/05 (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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| UTILITY<br>PATENT APPLICATION<br>TRANSMITTAL  |  | Attorney Docket No. H9876.0060/P060  |  |
|---|--|--|--|
|   |  | First Inventor Teruhiko Nakagawa   |  |
|   |  | Title INFORMATION DISPLAY METHOD AND, etc.   |  |
| (Only for new nonprovisional applications under 37 CFR 1.53(b))   |  | Express Mail Label No.   |  |
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents.   |  | <b>ADDRESS TO:</b> Box Patent Application<br>Commissioner for Patents<br>Washington, DC 20231  |  |
| 1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)<br>(Submit an original, and a duplicate for fee processing)   |  | 7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or<br>Computer Program (Appendix)   |  |
| 2. <input type="checkbox"/> Applicant claims small entity status<br>See 37 CFR 1.27.  |  | 8. Nucleotide and/or Amino Acid Sequence Submission<br>(if applicable, all necessary)  |  |
| 3. <input checked="" type="checkbox"/> Specification [Total Pages 38]<br>(preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table,<br/>or a computer program listing appendix</li><li>- Background of the invention</li><li>- Brief Summary of the invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> |  | a. <input type="checkbox"/> Computer Readable Form (CRF)   |  |
| 4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 17]   |  | b. Specification Sequence Listing on: <ul style="list-style-type: none"><li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li><li>ii. <input type="checkbox"/> paper</li></ul> |  |
| 5. Oath or Declaration [Total Pages ] <ul style="list-style-type: none"><li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/>(for continuation/divisional with Box 18 completed)</li><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b><br/>Signed statement attached deleting<br/>inventor(s) named in the prior application,<br/>see 37 CFR 1.63(d)(2) and 1.33(b).</li></ul>  |  | c. <input type="checkbox"/> Statements verifying identity of above copies  |  |
| 6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76   |  | <b>ACCOMPANYING APPLICATIONS PARTS</b>   |  |
| 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:<br><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.:<br>Prior application information: Examiner Group / Art Unit:   |  | 9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))   |  |
| For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.  |  | 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney  |  |
| <b>19. CORRESPONDENCE ADDRESS</b>   |  | 11. <input type="checkbox"/> English Translation Document (if applicable)  |  |
| <input type="checkbox"/> Customer Number or Bar Code Label  |  | 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations  |  |
| Name DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP<br>Thomas J. D'Amico  |  | 13. <input checked="" type="checkbox"/> Preliminary Amendment  |  |
| Address 2101 L Street NW  |  | 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br>(Should be specifically itemized)  |  |
| City Washington State DC Zip Code 20037-1526  |  | 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)<br>(if foreign priority is claimed)   |  |
| Country Telephone (202) 785-9700 Fax (202) 887-0689   |  | 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).<br>Applicant must attach form PTO/SB/35 or its equivalent.  |  |
| Name (Print/Type) Thomas J. D'Amico   |  | 17. <input type="checkbox"/> Other:  |  |
| Signature [Signature]   |  | Registration No. (Attorney/Agent) 28,371   |  |
|   |  | Date February 14, 2001   |  |

# FEE TRANSMITTAL

## for FY 2001

**Patent fees are subject to annual revision.**

**Complete if Known**

|                      |                   |
|----------------------|-------------------|
| Application Number   | Not Yet Assigned  |
| Filing Date          | February 14, 2001 |
| First Named Inventor | Teruhiko Nakagawa |
| Examiner Name        | Not Yet Assigned  |
| Group Art Unit       | N/A               |
| Attorney Docket No.  | H9876.0060/P060   |

|                                |             |               |
|--------------------------------|-------------|---------------|
| <b>TOTAL AMOUNT OF PAYMENT</b> | <b>(\$)</b> | <b>750.00</b> |
|--------------------------------|-------------|---------------|

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

|                              |         |
|------------------------------|---------|
| Deposit<br>Account<br>Number | 04-1073 |
|------------------------------|---------|

|                            |   |
|----------------------------|---|
| Deposit<br>Account<br>Name | Dickstein Shapiro Morin &<br>Oshinsky LLP |
|----------------------------|---|

- |                                     |   |                          |   |
|-------------------------------------|---|--------------------------|---|
| <input checked="" type="checkbox"/> | Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 | <input type="checkbox"/> | Applicant claims small entity status. See 37 CFR 1.27 |
|-------------------------------------|---|--------------------------|---|

2. ☒ Payment Enclosed

|                                     |       |                          |             |                          |             |                          |       |
|-------------------------------------|-------|--------------------------|-------------|--------------------------|-------------|--------------------------|-------|
| <input checked="" type="checkbox"/> | Check | <input type="checkbox"/> | Credit Card | <input type="checkbox"/> | Money Order | <input type="checkbox"/> | Other |
|-------------------------------------|-------|--------------------------|-------------|--------------------------|-------------|--------------------------|-------|

### FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 101          | 710      | 201          | 355      | Utility filing fee     | 710.00   |
| 106          | 320      | 206          | 160      | Design filing fee      |          |
| 107          | 490      | 207          | 245      | Plant filing fee       |          |
| 108          | 710      | 208          | 355      | Reissue filing fee     |          |
| 114          | 150      | 214          | 75       | Provisional filing fee |          |

|                     |             |               |
|---------------------|-------------|---------------|
| <b>SUBTOTAL (1)</b> | <b>(\$)</b> | <b>710.00</b> |
|---------------------|-------------|---------------|

## 2. EXTRA CLAIM FEES

|                    | Claims | below   | Fee Paid |
|--------------------|--------|---------|----------|
| Total Claims       | 15     | -20** = | 0.00     |
| Independent Claims | 3      | -3** =  | 0.00     |
| Multiple Dependent |        |         |          |

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                     |
| 102          | 80       | 202          | 40       | Independent claims in excess of 3                          |
| 104          | 270      | 204          | 135      | Multiple dependent claim, if not paid                      |
| 109          | 80       | 209          | 40       | ** Reissue independent claims over original patent         |
| 110          | 18       | 210          | 9        | ** Reissue claims in excess of 20 and over original patent |

|                     |             |
|---------------------|-------------|
| <b>SUBTOTAL (2)</b> | <b>(\$)</b> |
|---------------------|-------------|

**\*\*or number previously paid, if greater, For Reissues, see above**

**FEE CALCULATION (continued)**

### 3. ADDITIONAL FEES

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 105          | 130      | 205          | 65       | Surcharge – late filing fee or oath  |          |
| 127          | 50       | 227          | 25       | Surcharge – late provisional filing fee or cover sheet                     |          |
| 139          | 130      | 139          | 130      | Non-English specification  |          |
| 147          | 2,520    | 147          | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112          | 920*     | 112          | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113          | 1,840*   | 113          | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115          | 110      | 215          | 55       | Extension for reply within first month                                     |          |
| 116          | 390      | 216          | 195      | Extension for reply within second month                                    |          |
| 117          | 890      | 217          | 445      | Extension for reply within third month                                     |          |
| 118          | 1,390    | 218          | 695      | Extension for reply within fourth month                                    |          |
| 128          | 1,890    | 228          | 945      | Extension for reply within fifth month                                     |          |
| 119          | 310      | 219          | 155      | Notice of Appeal   |          |
| 120          | 310      | 220          | 155      | Filing a brief in support of an appeal                                     |          |
| 121          | 270      | 221          | 135      | Request for oral hearing   |          |
| 138          | 1,510    | 138          | 1,510    | Petition to institute a public use proceeding                              |          |
| 140          | 110      | 240          | 55       | Petition to revive – unavoidable   |          |
| 141          | 1,240    | 241          | 620      | Petition to revive - unintentional   |          |
| 142          | 1,240    | 242          | 620      | Utility issue fee (or reissue)   |          |
| 143          | 440      | 243          | 220      | Design issue fee   |          |
| 144          | 600      | 244          | 300      | Plant issue fee  |          |
| 122          | 130      | 122          | 130      | Petitions to the Commissioner  |          |
| 123          | 50       | 123          | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 126          | 180      | 126          | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581          | 40       | 581          | 40       | Recording each patent assignment per property (times number of properties) | 40.00    |
| 146          | 710      | 246          | 355      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 149          | 710      | 249          | 355      | For each additional invention to be examined (37CFR 1.129(b))              |          |
| 179          | 710      | 279          | 355      | Request for Continued Examination (RCE)                                    |          |
| 169          | 900      | 169          | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify)

|                                   |                     |      |       |
|-----------------------------------|---------------------|------|-------|
| *Reduced by Basic Filing Fee Paid | <b>SUBTOTAL (3)</b> | (\$) | 40.00 |
|-----------------------------------|---------------------|------|-------|

## SUBMITTED BY

|                   |                   |
|-------------------|-------------------|
| Name (print/type) | Thomas J. D'Amico |
|-------------------|-------------------|

Registration No.  
(Attorney/Agent)

28,371

Complete (if applicable)

|           |                |
|-----------|----------------|
| Telephone | (202) 828-2232 |
|-----------|----------------|

**Signature**

Date \_\_\_\_\_

February 14, 2001